

**MASS TORT/CLASS ACTION SUPPLEMENT**

For purposes of this supplement, **Class Action** means an action brought by a representative member on behalf of a large group of persons or members of the group. **Mass Tort** means a multi-party lawsuit, including a **class action**, involving tort claims where: (i) a mass accident occurred, usually a single event, and injuries are sustained by many people or (ii) personal injuries are sustained on a widespread basis, usually involving allegedly defective products.

Firm Name: \_\_\_\_\_ State \_\_\_\_\_ Effective Date \_\_\_\_\_ Policy \_\_\_\_\_

**I. Provide the following information for each class action/mass tort case the firm is involved in. Attach additional sheet(s) if necessary)**

a) **Name/Nature of case** \_\_\_\_\_ Date filed \_\_\_\_\_

Description of Case \_\_\_\_\_

Number of Plaintiffs \_\_\_\_\_ Number in Class \_\_\_\_\_ Party represented by Firm: Plaintiff  Defendant

Dollar value/potential damages of Class: \$ \_\_\_\_\_ Dollar value for parties the firm represents in the Class: \_\_\_\_\_

Is this is nationwide Class? Yes  No  States the Class is filed in: \_\_\_\_\_ States of firm’s client representation: \_\_\_\_\_

Define the Firm’s role in this case: Lead Counsel  Local Counsel  Co-counsel  Referring Counsel   
If the firm acts as referring counsel, does the firm continue to work on the case after referral to another law firm? Yes  No  NA   
If yes, explain legal services provided \_\_\_\_\_

Does the firm involve or work with any of the following on this case? Yes & identified below  No other counsel is involved   
Outside Counsel  Local Counsel  Co-counsel  Outside jurisdiction \_\_\_\_\_ State(s) \_\_\_\_\_

If the firm works with/refers to other firms on this Class case, regardless of capacity, does the firm assure these other firms carry lawyer’s professional liability insurance with coverage of at least \$500,000? Yes  No  NA

Does the firm use an engagement letter specifying the extent and limitations of the legal services rendered for this case? Yes  No   
Please attach a sample letter.

Describe the ways or processes of the firm’s communication with mass tort/class action clients in this case.  
\_\_\_\_\_  
\_\_\_\_\_

Current Status of the Case: \_\_\_\_\_ If closed, date: \_\_\_\_\_

**II. Complete the following general information on the firm’s mass tort/class action practice:**

- 2. a. Number of years the firm has handled mass tort/class action cases: \_\_\_\_\_
- b. Number of lawyers handling these cases: \_\_\_\_\_
- c. Number of non-lawyer support staff assisting in these cases : Paralegal \_\_\_\_\_ Legal Asst \_\_\_\_\_ Other \_\_\_\_\_
- d. Number of non-legal professionals assisting in these cases: Doctor \_\_\_\_\_ Nurse \_\_\_\_\_ Engineer \_\_\_\_\_  
Other (specify) \_\_\_\_\_

3. Is the firm affiliated with any organization with whom they provide legal services? Yes  No   
If yes, please provide the following:  
Name of organization \_\_\_\_\_  
Legal services provided \_\_\_\_\_

4. Attach a sample of your advertisement for this type of work.

5. Has the firm had any claims in the past 5 years which involve mass tort/class action cases? Yes  No  If yes, complete a Claims Supplement for each.

Signature and Title of Applicant \_\_\_\_\_ Date \_\_\_\_\_